# Wavefunctions are "representations of bra and ket vectors": Wed 23jan19

Expanding arbitrary state functions as linear combinations of the complete set of eigenfunctions of an *observable*, dynamical variable operator A:

An arbitrary state vector |f > may be expressed as a superposition (linear combination) of members of a complete set:  $|f\rangle = \sum_{k} c_{kf} |k\rangle$  $\hat{A}|k\rangle = a_{k}|k\rangle$ , where  $a_{k}$  are the eigenvalues of  $\hat{A}$ , and  $c_{kf} = \langle k|f\rangle$ 

The numbers  $c_{kf}$  are said to be: " |f > in the A representation".

One may, of course, use any other complete set to "represent" | f >. For example, the c<sub>kf</sub> from the complete set using the eigenfunctions of the Hermitian operator,  $d^2/dx^2$ , i.e., sin(kx) and cos(kx), is the Fourier representation, better known as the Fourier Transform.

The set of numbers  $\langle \mathbf{k'} | \mathbf{B} / \mathbf{k} \rangle$  is similarly said to be the operator  $\mathbf{B}$  in the A representation.

### The Identity operator

Given that the  $c_{kf}$  are numbers =  $\langle k | f \rangle$ 

$$|f\rangle = \sum_{k} c_{kf} |k\rangle = \sum_{k} |k\rangle c_{kf} = \sum_{k} |k\rangle \langle k|f\rangle$$
$$|f\rangle = \sum_{k} |k\rangle \langle k|f\rangle = \left(\sum_{k} |k\rangle \langle k|\right) |f\rangle$$

Thus,  $\sum_{k} |k\rangle \langle k| = \hat{I}$ , the very widely used "Identity" operator

Next, we introduce the special complete set known as the "position" eigenfunctions.

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## **Position Eigenfunctions**

The position operator  $x_{op}$  is simple multiplication by the position x. The eigenfunctions are *the ingenious and* widely used **Dirac delta functions** =  $\delta(\mathbf{x}-\mathbf{a})$ , where a is a real number; it has the properties:

$$\delta(x-a) = 0 \quad \text{if } x \neq a$$
  

$$\delta(x-a) = \infty \quad \text{if } x = a = \text{a series of infiitely high, infinitely narrow peaks.}$$
  
but normalized so that:  

$$\int_{-\infty}^{\infty} \delta(x-a) dx = 1$$

 $\hat{x}\delta(x-a) = a\delta(x-a)$  i.e.,  $\delta(x-a)$  is an eigenfunction of  $\hat{x}$  with eigenvalue=a

To rationalize the normalization, one may think of the function near x=a to be very large but finite in a very narrow, but finite, region such that area under the curve = 1.

Thus expanding  $|\Psi\rangle$  in the eigenfunctions of x, i.e., the Dirac delta functions, changes  $|\Psi\rangle$  into the wavefunction

$$\Psi(x) = \text{limit of } \sum_{a} \langle \delta(x-a) | \Psi \rangle$$
 as x and *a* become continuous  
 $\Psi(x) = " | \Psi \rangle$  in the position representation"

#### Wavefunctions are state kets in the position representation

## **Theorems**

Theorem 1. The eigenvalues of an Hermitian operator are real numbers

**Theorem 2.** The *eigenfunctions* of an Hermitian operator are orthogonal—unless the eigenvalues are degenerate. They can be made orthogonal, however

**Theorem 3.** A trivial result of Theorems 1 and 2. If *A* is Hermitian and if  $g_1, g_2, ...$  are a complete set of eigenfunctions of *A* and if *A*F=kF, then if F is expanded as:  $F = \sum_{i=1}^{n} a_i g_i$ 

the only non-zero coefficients,  $a_i$  will for those  $g_i$  which also have eigenvalue= k

**Theorem 4.** If linear operators *A* and *B* have a common complete set of eigenfunctions, then *A* and *B* commute, i.e,  $AB \Psi = BA \Psi$ 

**Theorem 5.** If linear operators *A* and *B* commute, then a common complete set of eigenfunctions can be constructed for them. **Example**?

For spherical systems:  $H, L^2$ , and  $L_z$  operators all commute; thus n, l, m

and Group Theory: Symmetry operations all commute with *H*.

**Theorem 6.** If  $g_m$  and  $g_n$  are eigenfunctions of Hermitian operator A with different eigenvalues, and if the linear operator B commutes with A, then

$$\langle g_m | B | g_n \rangle = 0$$
 for  $a_n \neq a_m$ 

**Theorem 7.** When the potential energy V is an *even* function, we can choose the stationarystate wave functions so that each  $\Psi_i$  is **either** an *even* function **or** an *odd* function

This means that *H* commutes with the symmetry operator called *inversion*. *Even* and *odd* parity simply means eigenvalues of *inversion* = +1 or -1

**Theorem 8.** If  $Bg_m = b_m g_m$  and  $\Psi = \sum_i c_i g_i$ 

then if B is measured at time t when the state function is given by  $\Psi$ , the probability of observing the result  $b_m$  is given by  $|c_m|^2$ ( or the sum of  $|c_m|^2$  for the degenerate states for which  $c_m = b_m$ .)

**Theorem 9.** The probability of measuring the value  $\mathbf{b}_{j} = \left| \left\langle g_{j} | \Psi \right\rangle \right|^{2}$ 

# Postulates

**Postulate 1.** The state of a system is described by a function  $\Psi(\langle r \rangle, t)$ , where  $\langle r \rangle$  stands for all 3N coorinates of the N particles, and t is the time.  $\Psi(\langle r \rangle, t)$  is called the state function or wavefunction, and contains all the information that can be determined about the system.

 $\Psi$  must be single-valued, continuous, and quadratically integrable.

For continuum states (unbound), the quadratically integrable requirement is omitted

**Postulate 2.** To every physically observable property there corresponds a linear Hermitian operator, where Cartesian  $x_{op}$  = multiply by x and momentum,  $p_x$  is given by

$$p_x = -i\hbar \frac{\partial}{\partial x}$$

**Postulate 3.** The only possible result from a measurement of property *B*, corresponding to operator *B*, are the eigenvalues of *B*.

**Postulate 4.** If B is a linear Hermitian operator that represents a <u>physical property</u>, the eigenfunctions  $g_i$  of B form a complete set

(This must be a <u>postulate</u> because it <u>can't be proven</u>, and there are Hermitian operators whose eigenfunctions do not form compete set!)

**Postulate 5.** The average (or expectation value) of a property B is given by  $\int_{all \ space} \Psi^* B \Psi d\tau = \langle \Psi | B | \Psi \rangle$ 

Postulate 6. The time dependent Schrodinger Equation:

$$\frac{\partial}{\partial t}\Psi = -\frac{i}{\hbar}H\Psi \qquad \text{or, } i\hbar\frac{\partial}{\partial t}\Psi = H\Psi \qquad \text{or, } -\frac{\hbar}{i}\frac{\partial}{\partial t}\Psi = H\Psi$$

## "The" Variation Principle and Variation Methods

**One cannot overemphasize the immense importance of "the" variation principle to quantum chemistry,** but it is equally important in numerous other fields, many of them in non-quantum engineering applications. It turns out that eigenvalues and eigenvectors always emerge when a differential equation is subjected to boundary conditions.

Schrödinger himself invoked a variation principle to support his formulation of the Schrödinger Equation.

Quoting from <u>https://en.wikipedia.org/wiki/Variational\_principle</u>: A **variational principle** is a scientific principle used within the <u>calculus of variations</u>, which develops general methods for finding functions which extremize the value of quantities that depend upon those functions. "For example...the shape of a chain suspended at both ends... is found by minimizing the gravitational potential energy"

#### Examples

- •Lord Rayleigh's variational principle
- •Ekeland's variational principle
- •Fermat's principle in geometrical optics
- •The principle of least action in mechanics, electromagnetic theory, and guantum mechanics
- •Maupertuis' principle in classical mechanics
- •The Einstein equation also involves a variational principle, the Einstein-Hilbert action
- Gauss's principle of least constraint
- •Hertz's principle of least curvature
- Palatini variation
- •The variational method in quantum mechanics
- •The finite element method

## The Variation Principle of Quantum Systems

$$\frac{\int \phi_{trial}^{*} H \phi_{trial} \, d\tau}{\int \phi_{trial}^{*} \phi_{trial} \, d\tau} = \langle E \rangle \ge E_{0}$$

The power of the variation principle is that *any* approximate wavefunction for the ground state of a quantum system is *guaranteed* to give an energy expectation value,  $\langle E_{approx} \rangle$ , that will be <u>higher than the true ground</u> state energy,  $E_{0.2}$ 

This allows one to vary the "shape" of the trial wavefunction by **any** means available, until the derivative of  $\langle E_{approx} \rangle$  with respect to all variables being varied = 0, simultaneously, and thus be satisfied that this will be the most accurate wave function of the type being used as  $\varphi_{trial}$ .

### There is no danger of finding an energy that is too low!

In addition to the above **"wavefunction"** scheme, Kohn and Sham shared the Nobel Prize recently for proving and helping implement the so **called density functional theory (DFT)**.

With **DFT**, one directly varies the electron density  $\phi^*_{trial} \phi_{trial}$  to reach the energy minimum, instead of varying  $\phi_{trial}$ , which must always be squared to get the energy anyway.

There are different variation methods which can be classified into two broad classes: (1)linear variation method, and (2) non-linear variation methods. We will begin with simple examples of the **non-linear variation method**, Then **spend much time on the linear variation method**, which pervades most of the computational methods we will encounter in chemistry.

A quick example is using the exact form of the ground state wavefunction for a hydrogen-like atom or ion. +2 =7

$$H = -\frac{\hbar^2}{2m_e} \nabla^2 - \frac{Ze^2}{r} = -\frac{1}{2} \nabla^2 - \frac{Z}{r}$$

$$\nabla^2 = \frac{\partial^2}{\partial r^2} + \frac{2}{r} \frac{\partial}{\partial r} + \frac{1}{2r^2} \frac{L^2(\theta, \varphi)}{r}$$
if we don't know that  $\varphi_0 = e^{-\frac{Zr}{a}} = e^{-Zr}$  in atomic units  
We guess that:  

$$\phi_{trial} = e^{-\frac{\alpha r}{a}} = e^{-\alpha r}$$
 in atomic units  

$$\frac{\int \varphi_{trial}^* H \varphi_{trial} d\tau}{\int \varphi_{trial}^* \varphi_{trial} d\tau} = \langle E \rangle$$

 $d\tau = r^2 dr \sin\theta \ d\phi$ 

$$\left\langle E\right\rangle = \frac{\int e^{-\alpha r} H e^{-\alpha r} d\tau}{\int e^{-\alpha r} e^{-\alpha r} d\tau} = \frac{\int e^{-\alpha r} \left(\frac{\partial^2}{\partial r^2} + \frac{2}{r}\frac{\partial}{\partial r} - \frac{Z}{r}\right) e^{-\alpha r} 4\pi^2 r^2 dr}{\int e^{-2\alpha r} 4\pi^2 r^2 dr}$$

$$\langle E \rangle = \frac{\int e^{-\alpha r} H e^{-\alpha r} d\tau}{\int e^{-\alpha r} e^{-\alpha r} d\tau} = \frac{\int e^{-\alpha r} \left( \frac{\partial^2}{\partial r^2} + \frac{2}{r} \frac{\partial}{\partial r} - \frac{Z}{r} \right) e^{-\alpha r} 4\pi^2 r^2 dr}{\int e^{-2\alpha r} 4\pi^2 r^2 dr}$$
$$\int_0^\infty r^n e^{-br} dr = \frac{n!}{b^{n+1}}$$
For n = 1 :  $\frac{1!}{b^{1+1}} = \frac{1}{b^2}$  units = length<sup>2</sup>  
For n = 2 :  $\frac{2!}{b^{2+1}} = \frac{2}{b^3}$  units = length<sup>3</sup>

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**Example:** Hydrogen-like ion with charge Z

If you assume that  $\frac{4}{15} = e$  as a trial function then  $E_{approx} = \frac{\int e^{-\chi r} + e^{-\chi r}}{\int e^{-2\chi r} dv} = T_{approx} + V_{approx}$   $= \frac{\chi^2}{2} - \chi^2$ 

in a.u.

where  $T_{dpp\mu_{0x}} = \frac{\chi^2}{Z}$  ;  $V_{dpp\nu_{0x}} = -\chi Z$ 

To find  $\land$  which gives the minimum energy find  $\lor$  for which slope of  $E(\land) = 0$ 

$$\frac{dE(\alpha)_{approx}}{d\alpha} = 0 = \frac{d\left(\frac{\alpha^2}{2} - \alpha Z\right)}{d\alpha} = \alpha - Z$$

So  $\alpha = \mathbf{Z}$  as you know, is the best form for the hydrogen 1s, and this is the exact answer since we lucked out and guessed the exponential  $-\kappa r^2$ form. If we pick  $\psi_{approx} = e$  we can find a

minimum energy, but it will be higher than  $-\frac{1}{2}$  a.u., the exact value.